

Wealth Builder Application Form

1. Personal Details

Mr Mrs Miss Other) _____
 Surname _____
 First Name _____
 Middle Name(s) _____
 Occupation _____
 Telephone _____
 Email Address _____
 Residential Address _____

2. Joint Application (if any)

Mr Mrs Miss Other) _____
 Surname _____
 First Name _____
 Middle Name(s) _____
Investment on behalf of others:
 Title: _____ Surname _____
 First Name(s) _____
 Relationship to Beneficiary _____
 Address _____

3. Portfolio Preference

Banking and Insurance Breweries Manufacturing Oil & Petroleum
 Agro-Processing Tobacco Pharmaceutical Portfolio Mix
 Others _____

4. Dividend

I want all my dividends from these investments re-invested I want my dividends sent to me at my address above

5. Payment Policy

I wish to make regular payments of Gh¢ _____ by Cash Cheque
 Standing Order (if you check this box please fill the attached form)

6. Direct Debit Instruction

I/We authorize my/our Bankers _____
BANK NAME BRANCH
 to transfer an amount of Gh¢ _____ From my account number _____
 on _____ of every month Quarterly Basis Other (Please Specify) _____ Until/we instruct otherwise

To:

UMB Wealth Builder Account Number:	000020100093916
Bank:	Universal Merchant bank
Branch:	Ridge

Please note UMBS is not liable for the said account until it reaches our account. Charges may apply for the transaction from your Bankers

7. Power of Attorney (For Discretionary mandate)

The Limited Power of Attorney gives UMB Stockbrokers Limited the power to manage your equity investments and to trade on your behalf. Please read it carefully and, if necessary, obtain independent advice before signing.

1. I/WE HEREBY APPOINT UMB STOCKBROKERS LIMITED ("UMBS ") as my/our true and lawful attorney

and in my name and on my behalf to do the following acts or things or any of them, as they shall, in my interest, think proper namely:

- a. To act on my/our behalf as brokers in any transaction which I/we have instructed UMBS to do.
- b. To do all and perform all acts necessary for the management of my/our equity investment portfolio/account held with UMBS.
- c. To do all things incidental to the above including, but not limited to, giving instructions for transactions in securities, transferring, converting, endorsing, selling, assigning and delivering any securities held in my/our equity investment portfolio/account and making, executing and delivering any written instruments of assignments and transfer in order to complete any instructions or transaction.

2. AND I/WE HEREBY

- a. Agree to ratify all that UMBS may do or cause to be done in pursuance of this authority.
- b. Agree to indemnify UMBS in respect of all expenses incurred or liabilities covered by them in the reasonable and proper exercise of the powers contained herein.
- c. Declare that this power shall, in all respects be interpreted in accordance with the laws of Ghana.

8. Declaration

I/We confirm that we have read and understood and agree to the terms and conditions of this product

First - Name Holder Signature		Date
Joint Holder Signature		Date

Official Use

Received by:

(Officer Name)

(Officer Signature) _____
Date

Authorized by:

(Officer Name)

(Officer Signature) _____
Date